

## Assignment 7

1. (a) In this question, we are only assuming one-dimensional solids. Show that  $V(x) = \sum_G V_G e^{iGx}$  is a periodic function with period  $a$ .  
 (b) Using the above description of the periodic  $V(x)$ , show that if  $V(x)$  is real, we have  $V_{-G} = V_G^*$ .  
 (c) Consider the eigenstates for the free electron

$$\langle x | k \rangle = \frac{1}{\sqrt{L}} e^{ikx}$$

where  $L$  is the length of the solid and  $L \gg 1/k$ . Show that the matrix element  $\langle k' | V(x) | k \rangle$  is non-zero only when  $G = k - k'$ .

- (d) Consider  $k$  values at the first zone boundaries, i.e. at  $k = -\pi/a$  and  $k = -\pi/a + G = -\pi/a + 2\pi/a = \pi/a$ . Hence these states can be written as  $|k\rangle$  and  $|k+G\rangle$ . Write the Hamiltonian in the  $\{|k\rangle, |k+a\rangle\}$  basis and diagonalize. This will provide the energies at the zone boundaries. Show that they are

$$\varepsilon = \frac{\hbar^2 \pi^2}{2ma^2} + V_0 \pm V_{2\pi/a}. \quad (1)$$

What is the band gap between the first and second Brillouin zones?

2. (a) The dispersion relationship for electrons in a metal is given by

$$\varepsilon = 2A \sin^2(ka/2), \quad (2)$$

where  $a$  is the lattice spacing. If for small  $k$ ,  $k \ll 1$ , the effective mass  $m^*$  is equal to the free electron mass  $m$ , find the constant  $A$ .

(b) Show that  $\partial\varepsilon/\partial k = 0$  at the zone boundary  $k = \pm\pi/a$ .

(c) If  $m^* = \hbar^2/(\partial^2\varepsilon/\partial k^2)$  plot the variation of the effective mass of the electrons in the FBZ.